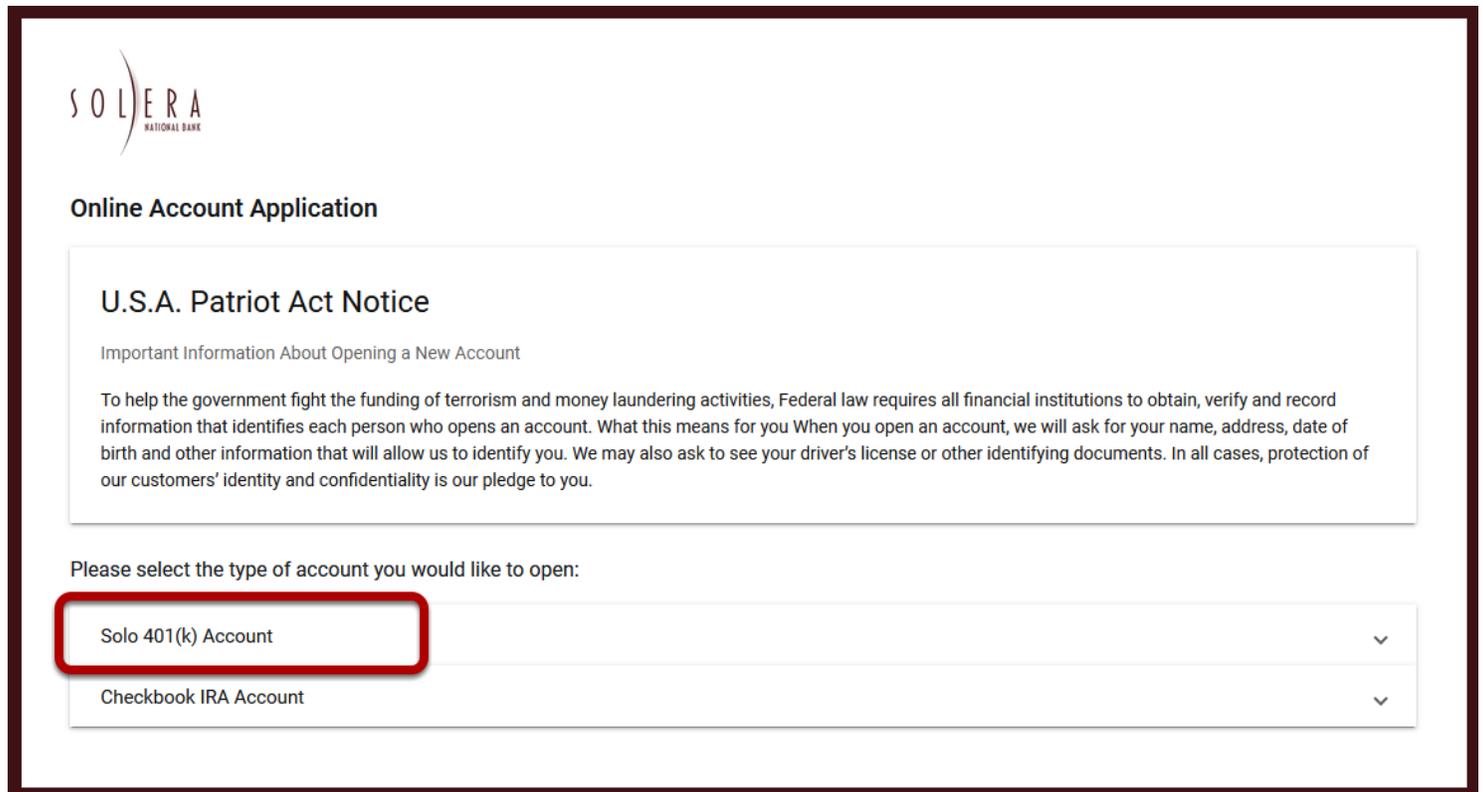


## How to open a Solera bank account for your Solo 401k

This guide will walk you through everything you need to open a Solo 401k Trust checking account with Solera National Bank quickly and smoothly.

Navigate your browser to <https://apply.solerabank.com/>



**SOLERA**  
NATIONAL BANK

### Online Account Application

#### U.S.A. Patriot Act Notice

Important Information About Opening a New Account

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account. What this means for you When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents. In all cases, protection of our customers' identity and confidentiality is our pledge to you.

Please select the type of account you would like to open:

- Solo 401(k) Account
- Checkbook IRA Account

Link: <https://apply.solerabank.com/>

Click on "Solo 401k(k) Account".

Please select the type of account you would like to open:

## Solo 401(k) Account

To apply for a checking account for an existing Solo 401(k), you need all of the following items:

- Plan Tax Id (EIN)
- Plan Adoption Agreement
- Picture of two U.S. government issued IDs for each participant

[Apply for Solo 401\(k\) Checking Account](#)

Before going forward, make sure you have your:

- EIN Letter for your Solo 401k trust: Download a copy from your Solo 401k dashboard at <https://docs.solo401k.com/>
- Adoption Agreement: Found in your .zip folder of your entire Solo 401k Plan and Trust Documents
- Image file of 2 forms of ID for each participant: If your spouse participates in the Solo 401k plan with you, you will each need a clear copy of the front and back of two types of IDs (e.g. Social Security card, Unexpired Driver's license, unexpired passport, birth certificate, etc)

**Below are the forms of ID that will be accepted by Solera:**

**U.S. Citizen**

<b>Primary</b>	<b>Secondary</b>
Unexpired U.S. Driver's License with photograph Unexpired Military Identification Card with photograph Unexpired U.S. issued identification card with photograph Unexpired Passport with photograph Alien Registration Receipt (Resident Alien Card) I-551	Unexpired U.S. Driver's License with photograph Unexpired Military Identification Card with photograph Unexpired U.S. issued identification card with photograph Unexpired Passport with photograph ID issued by Department of the Interior Bureau of Indian Affairs Agency Student ID with photo – <b>minor only</b> Valid Auto Registration – <b>name must match gov't issued photo ID</b> Birth Certificate – <b>name must match gov't issued photo ID</b> Health Insurance Card – <b>name must match gov't issued photo ID</b> Social Security Card – <b>name must match gov't issued photo ID</b>

*Individual has to provide one primary and one secondary or two primary documents*

**Non U.S. Citizen**

<b>Primary</b>	<b>Secondary</b>
U.S. Passport U.S. Government Issued ID	ITIN

*Individual has to provide one primary and one secondary document*

Utility bill or official correspondence from the U.S. Social Security or U.S. Revenue Service in the individual's name including physical address will be required if the provided or secondary documentation does not state the individual's current physical address.

Once you have your documents together, you can start the application.

Solo 401(k) Account Application

1 Plan Information

Trust Name *	Tax Id / EIN *
John Doe Trust	12-3456789

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Plan Adoption Date *	State Abbreviation *
01/01/2015	CA

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Phone Number	Ex. CO
910-546-2984	

**Physical Address**

---

Street Address *	Unit / Suite / Apartment
123 Sesame St	

---

City *	State *	Zip Code *
Any city	CA	12345

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Ex. CO

Under "Trust Name" list the name of your trust as listed on page 4 of your Adoption Agreement , after "The Trust shall be known as".

# NABERS GROUP

*Note: An executed copy of the Trust Agreement must be attached to this Plan. The Plan and Trust Agreement must be read and construed together. The powers, rights, and responsibilities of the Trust shall be those specified in the Trust Agreement.*

a. Plan Trustees:

TRUSTEES  
BY: [Signature]  
DATE: [Date]



b. Trust ID Number:

TRUSTEES  
BY: [Signature]  
DATE: [Date]



c. The Trust shall be known as:

TRUSTEES  
BY: [Signature]  
DATE: [Date]

a. Plan Trustees:

TRUSTEES  
BY: [Signature]  
DATE: [Date]



b. Trust ID Number:

TRUSTEES  
BY: [Signature]  
DATE: [Date]



c. The Trust shall be known as:

TRUSTEES  
BY: [Signature]  
DATE: [Date]

Under "Tax ID/EIN" you'll list the Trust EIN as listed on page 4 of your Adoption Agreement after "Trust ID Number"

## Solo 401(k) Account Application

### 1 Plan Information

Trust Name \*  Tax Id / EIN \*

Plan Adoption Date \*  State Abbreviation \*

Phone Number  Ex. CO

### Physical Address

Street Address \*  Unit / Suite / Apartment

City \*  State \*  Zip Code \*

- Enter the date you signed your plan signature pages for Plan Adoption Date
- Under "State Abbreviation" enter the state that you live in.
- Under "Phone Number" enter your phone number.
- Under "Physical Address" enter your physical address. If your mailing address is different, make sure to check 'Yes' for the question "Is the mailing address different than the physical address?"

Is the mailing address different than the physical address?

Yes  No

## Plan Adoption

Please upload a copy of your plan adoption in PDF format.

NAB Adoption Agreement.pdf

How did you hear about Solera? \*

Nabers Group ▼

Next

- Click on "Browse" to upload your Adoption Agreement as an attachment to the application
- For "How did you hear about Solera?" select "Nabers Group".
- Then click on "Next"

## 2 Plan Participants

### Plan Participant 1

First Name *	Middle Name	Last Name *
John		Doe
Date of Birth *	Social Security Number *	Sex *
1/1/1960	 123-45-6789	Male 

Are you a U.S. citizen?

Yes  No

### Contact Information

Phone Number *	Email *
877-765-6401	john@doe.com

### Physical Address

Street Address *	Unit / Suite / Apartment	
123 Sesame St		
City *	State *	Zip Code *
Any city	CA	12345
Ex. CO		

Is your Mailing Address different than your physical address?

Yes  No

Enter the information for the first participant (you) on this page.



## Secondary ID

Please upload one of the following in image or PDF format:

- Unexpired U.S. Driver's License or State Id
- Unexpired Passport with photograph
- ID issued by Department of the Interior Bureau of Indian Affairs Agency
- Valid Auto Registration – name must match government issued photo ID
- Birth Certificate – name must match government issued photo ID
- Social Security Card – name must match government issued photo ID

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## Desired Account(s)

- Checking account for tax-deferred funds (Non-Roth)
- Checking account for Roth funds (post-tax)

Please note: the bank is not the administrator of your Solo 401k plan and does not provide contribution tracking or any other tax related services. The terms "Roth" or "Non-Roth" are only used to label accounts to make it easier for you to perform that tracking yourself.

## Investment Intentions

Primary Investment Interest \*

Cryptocurrency

Secondary Interest (Optional)

Click "Browse" to upload your second form of ID.

Select whether you'd like to open a checking account for Non-Roth funds, Roth funds, or both. If you select both check boxes, two bank accounts will be open for your Solo 401k account: one account for Roth (after-tax) funds, and one for traditional (pre-tax funds)

Select what you'll be investing in, generally speaking.

**Investment Intentions**

Primary Investment Interest \*

Private Equity / Companies ▼

Secondary Interest (Optional) ▼

**Add Plan Participant**

If your spouse is a plan participant with you in the plan, click on "Add Plan Participant" and enter the information requested, including uploading 2 forms of ID. Once done, click "Next"

Solo 401(k) Account Application

- Plan Information
- Plan Participants
- 3 Review and Submit**

**By submitting this application, you affirm the following:**

I authorize Solera National Bank to make inquiries to state, federal and consumer reporting agencies to obtain information to determine if the bank should open my account.

I understand that this is an application for an account and that the bank may decline to open the account based on information provided or obtained from the various agencies.

I certify that all the information I provided for this application is true and correct.

I'm not a robot  reCAPTCHA  
Privacy - Terms

**Back** Submit Application

Select "I'm not a robot" on the follow screen and click "Submit Application".



## Solo 401(k) Account Application

Thank you for submitting your application. A representative will be in contact within one business day.

Once your application has been successfully submitted, you'll receive this confirmation message.