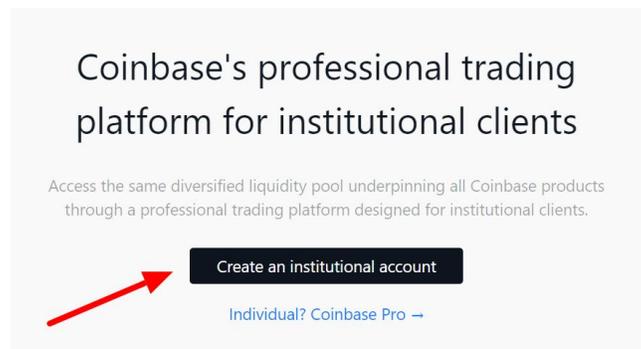


How to Open a Coinbase Prime Investment Account For Your Solo 401k

Use this guide to assist in filling out an application with Coinbase Prime to open a Crypto exchange account within your Solo 401k.

Navigate your browser to <https://prime.coinbase.com/>. Click on 'Create an institutional account'.



Register for Coinbase Institutional
Please provide us some information about your business to register for Coinbase Institutional products.

Step 1/3
Company Information

* Legal Entity Name

* Country of Incorporation

State Of Incorporation

Other Business Name (optional)

Legal Entity Name is the name of your Solo 401k trust as listed in Adoption Agreement, on Page 4, Section 18c, "The trust shall be known as".

Country of Incorporation is the United States.

State of Incorporation is generally the state you live in.

The **Place of Business Operations** is the United States.

Place of Business State is generally the state you live in.

Type of Business can be listed as 'Other', since 'Investing' isn't available.

Global Number of Employees is 1-10 as you and Possibly your spouse are the only participants in the plan.

Company Website can be left blank.

Business Description is a simple description that this is a retirement trust account, investing in different retirement assets.

*** Place of Business Operations**

This is the physical location of your team, or for global teams, where the majority of your firm's decision makers are located. We use this to offer you a representative in your timezone.

United States

Place of Business State

Colorado

*** Type of Business**

Other

*** Global Number of Employees**

1 - 10

Company Website (optional)

https://www.

*** Business Description**

Please describe your business in 100 to 500 characters

This is a retirement trust, belonging to a Solo 401k. It's role is investing in retirement assets.

Step 2/3
Product Interest

What products are you interested in using?
Please select products and select answers from dropdown

Coinbase Custody
Qualified Custodian for crypto assets (minimum \$1 million USD)

Coinbase Pro (Exchange)
Advanced crypto trading

\$0-100k

Coinbase Pro (OTC)
Execute large trades quickly and with minimal market impact

*** How do you plan on using our products?**
Please share as much information as possible so we can better support you

This account will be used to purchase cryptocurrency with my fully compliant retirement plan.

Next

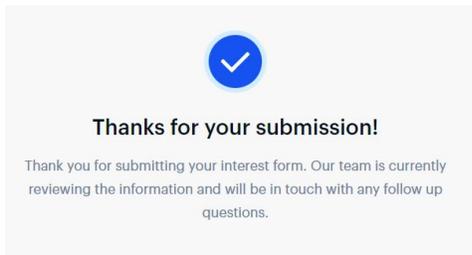
It's generally recommended that you select **Coinbase Pro (Exchange)** for the type of product you'll be using.

Select how much you expect to be trading in the following drop down box.

Under **How do you plan on using our products?** Write a short explanation of how you'll be purchasing crypto with your qualified retirement plan.

Enter in your personal information here. **Name, email, phone number,** and your **job title** for your primary job.

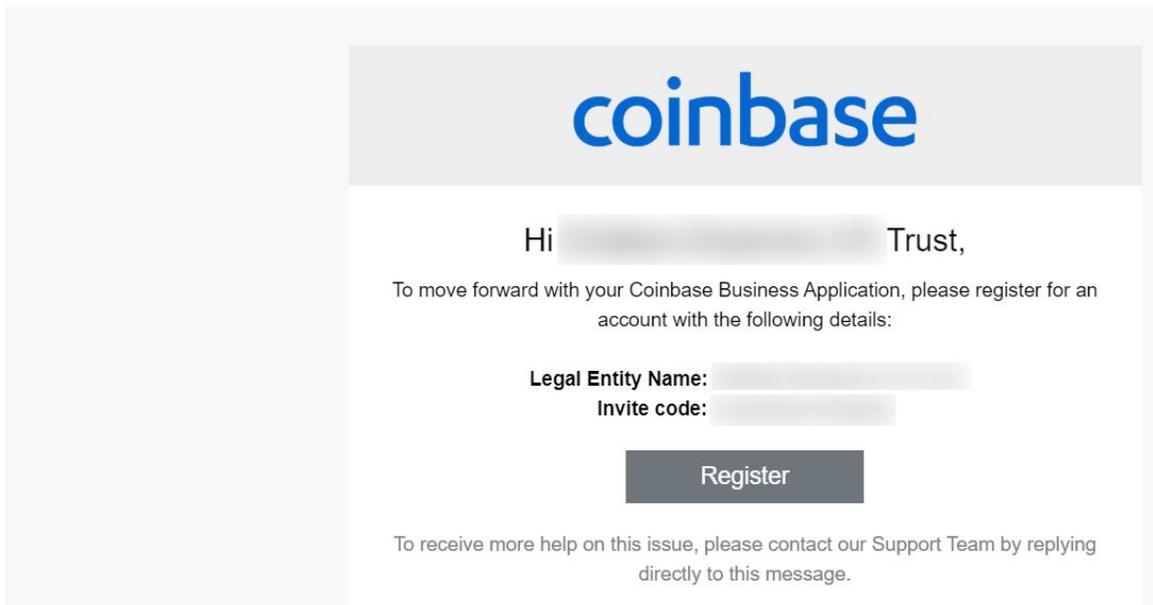
Scroll down and click '**Submit**'. You should receive a confirmation message:

A screenshot of a mobile form titled "Contact Information" at "Step 3/3". The form contains several input fields: "First Name" with "John", "Last Name" with "Doe", "Email" with "Johndoe@email.com", "Phone Number" with "877-765-6401" (with a note "Please include your country code"), and "Your job title" with "CEO".

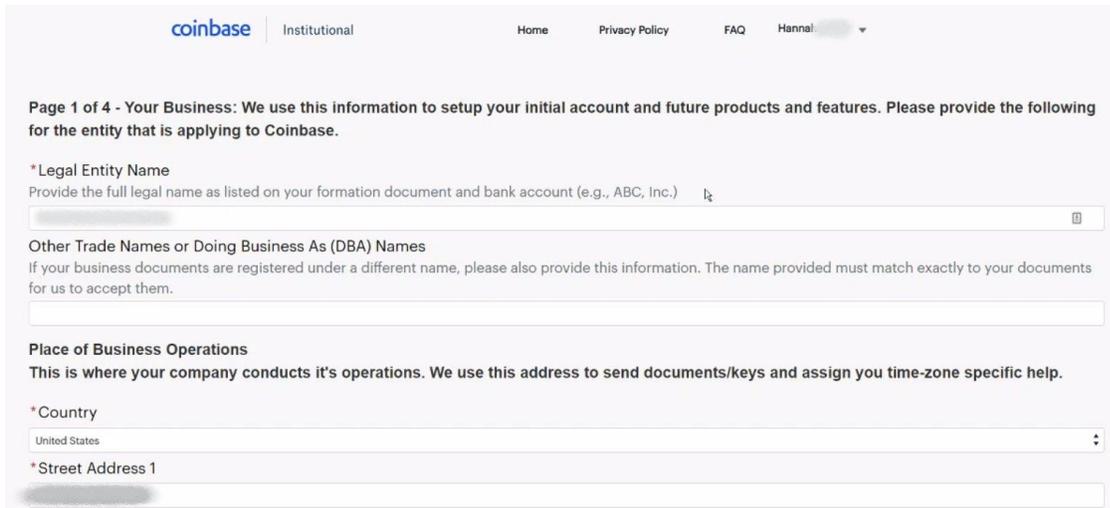
You should receive an email from Coinbase within a few business days, giving you a link to onboarding registration:

Coinbase Client Onboarding: Register for an account Inbox x

 **Coinbase Client Onboarding** <clientonboarding@coinbase.com>
to me ▾



Click on 'Register' within the email to complete a full 4 page application. On this first page:



coinbase Institutional Home Privacy Policy FAQ Hannal

Page 1 of 4 - Your Business: We use this information to setup your initial account and future products and features. Please provide the following for the entity that is applying to Coinbase.

*Legal Entity Name
Provide the full legal name as listed on your formation document and bank account (e.g., ABC, Inc.)

Other Trade Names or Doing Business As (DBA) Names
If your business documents are registered under a different name, please also provide this information. The name provided must match exactly to your documents for us to accept them.

Place of Business Operations
This is where your company conducts its operations. We use this address to send documents/keys and assign you time-zone specific help.

*Country
United States

*Street Address 1

Legal Entity Name is the name of your Solo 401k trust.

Place of Business Operations is asking about where your primary address is.



Incorporation Information

*Date of Incorporation / Formation
Date you opened your Solo 401k

*Country of Incorporation
United States

*State of Incorporation
Generally the state you live in

*Legal Entity Type
Trust

Client Type

*Industry
Non-Profit/Charity

*Business Type
Select the option you feel best describes your type of investing.

Date of Incorporation is generally the date you opened your Solo 401k and signed your plan signature pages.

Country of Incorporation is the US.

State of Incorporation is generally the state you live in.

Legal Entity Type is Trust.

Industry and **Business Type** doesn't really apply to this type of account. However, these questions are required so select the options you feel describe your type of investing best.

Other Business Information

Website

https://

* Please provide a detailed description of your business that is relevant to the opening of accounts with Coinbase for this business.

This is an investment-only trust.

* Fund assets under management (in USD)

\$0-5m

< Previous Save & Continue >

You can leave **Website** blank.

Next, type a simple description of what type of activity you use the Trust for. Generally an explanation that this is an investing account for your Solo 401k plan is sufficient.

For **Fund assets under management** you can select how much you have in the Solo 401k that you're investing with. Then click **Save & Continue**.

Page 2 of 4 - Coinbase Products: We use this information to setup your account features and settings.

* Which Coinbase products are you applying for?

Trading - Pro
 Custody

* What is the purpose of the account? (Select all that apply)

Proprietary trading / investing

* Source of funds

Business Revenue / Profits

On Page 2, select that you want a **"Trading-Pro"** account. You do not want a Custody account.

It's generally okay to select 'Proprietary trading/investing' for the **purpose of the account**.

For **Source of Funds**, it's generally okay to select 'Business Revenue/Profits' as that is where all of your rollovers/contributions to the plan come from.

Related to Trading account

* For features on your Coinbase trading account, which Coinbase entity would you like to open your account under?

If you would like more features than is available to a single entity, please select Coinbase UK/PL and notify your sales representative. If your account is approved, we will customize your account after onboarding. For more information please see our [help article](#).

	Coinbase Inc (our US entity)	Coinbase UK & Coinbase Payments Limited (our European entities)
Order Book Base Currency	USD	EUR
Payment Methods	ACH and US Domestic Fedwires for USD SEN (available upon request)	SEPA and Wire for EUR
USDC Stablecoin	USDC order books Direct conversion from USDC to USD	USDC order books
Please select one Coinbase Entity	<input checked="" type="radio"/>	<input type="radio"/>

* Monthly anticipated on-exchange trading volume in USD

\$0-100k

* If your application is approved, which email address would you like to be associated with your Coinbase trading account login? Upon approval, we will set up your account using this email address.

This email cannot be associated to an existing Coinbase individual or business account.

Johndoe@email.com

You'll want the US entity of Coinbase Inc for the entity you're signing up through.

Enter how much you think you'll be trading per month.

Enter your preferred email address to use for login at Coinbase. Then, click on **Save & Continue**.

For page 3 of the application, you'll be entering information and documentation for the trust itself.

Page 3 of 4 - Verify Business: We require this information to complete onboarding due diligence.

Please upload the following required documents for the entity applying for Coinbase

* Trust Agreement, including pages that discuss guidance and that list the Trustees and Grantors

[Upload Files](#) Or drop files

Trust Agreement and AA

Tax Information

* Tax ID Number (Employer Identification Number, Global Intermediary Identification Number, or other local entity ID number). For businesses incorporated in the US, this can be 9 digits i.e. xx-xxxxxxx. For non-US, this will be a mix of characters and numbers. You must have one.

* W-9 Form. Upload a completed W-9 Form or download a W-9 Form from the link here, complete, and upload.

[Click here](#) to download a W-9

[Upload Files](#) Or drop files

W-9 signed

Click on **'Upload Files'** to upload your NAB Trust Agreement. We also recommend that you upload your NAB Adoption Agreement here as well, as the Adoption Agreement is what lists your trust's specific information.

For **Tax ID Number**, enter the Tax ID Number we obtained for your Solo 401k trust, as listed on page 4 of the Adoption Agreement on Section 18b, after "Trust ID Number".

For **W-9 Form**, download a copy of a blank W-9 through the link on the application page. Complete it [according to the instructions here](#), and then upload it by clicking on **'Upload Files'**.

Provide the following on behalf of the entity applying for Coinbase

* Do you do business with individuals or entities in any of the following countries? Crimea, Cuba, Iran, North Korea, Sudan, Syria

No

* Are you engaged in any of the following activities or industries?
Adult entertainment or services, bail bonds, cannabis, check cashing or pay-day loans, crowdfunding, debt services or credit counseling, firearms or ammunitions, gambling, multi-level or affiliate marketing, payments aggregation, pharmaceuticals, pornography, prepaid or gift cards, ransomware services, tobacco products including vaping, toxic or radioactive materials

No

< Previous Save & Continue >

Most clients are able to answer **'No'** to these two questions. Then click on **'Save & Continue'**.

On Page 4, you'll answer questions about the trustees of the trust. Generally the only trustees in your plan are you, and possibly your spouse. Trustees are listed on Page 4 of your Adoption Agreement, Section 18a.

Page 4 of 4 - Verify Team: We require this information to complete onboarding due diligence.

Provide the following for all Trustees, Grantors, and Beneficiaries of the entity applying for Coinbase

You can add up to 10 individuals.

* First Name	* Last Name	* Date of Birth	* Residential Country
First Name	Last Name	YYYY - MM - DD	-- None --

+ Add Additional

Click on **'+ Add Additional'** for as many trustees you have in your plan (a maximum of two), and enter the name, date of birth, and residential country for each trustee.

Please provide the DOB for the user who is applying.

1 is required

First Name	Last Name	* Date of Birth
John	Doe	01/01/1900

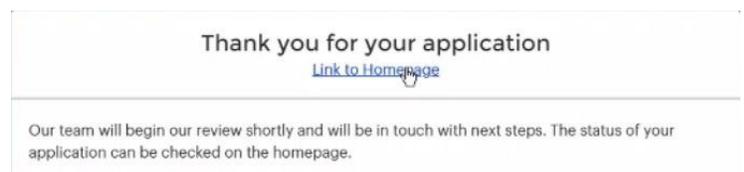
By submitting this application, I certify all information is true and correct to the best of my knowledge

No

[< Previous](#) [Submit](#)

Enter your **Name** and **date of birth**, select **'Yes'** that you certify all of your information is true and correct, then click **'Submit'**.

You'll receive a message thanking you for your submission.



Then, you'll be automatically logged into your Coinbase account where you should see your application has been submitted.

Submitted Applications				
NAME	PRODUCTS	DATE STARTED	DATE SUBMITTED	STATUS
Business Onboarding A...	Trading - Pro	August 04, 2019, 23:03	August 04, 2019, 23:45	Submitted

You may receive an email from Coinbase requesting a copy of Articles of Incorporation. Remember, your Solo 401k is a private retirement plan, and is not registered on the state level. This is not a public or state registered trust. Explaining this, along with ensuring Coinbase has your Adoption Agreement, Trust Agreement, and EIN letter, is generally sufficient for them to approve your account.



Coinbase Client Onboarding <support-onboarding@coinbase.com>
to me, Jared.Hedglin@coinbase.com



Hi Hannah,

Rebecca here with **Coinbase** Support. Thanks so much for submitting your application for a business account. We've received your application and are currently reviewing. It looks we will need this additional follow up item:

1. Please upload a valid formation document such as a government-issued certificate or articles of incorporation for your business "[REDACTED] Trust." [Here is our secure upload zone.](#)

If you do not have this, please let me know.

Kind regards,

Rebecca
Coinbase Support

ref_00D6A2G0qc_5003sHjX37.ref



Hannah
to Coinbase, Jared.Hedglin@coinbase.com

Mon, Aug 5, 7:50 PM

Hi Rebecca and team,

Thanks for your help with this! I've uploaded my IRS EIN Letter and Opinion Letter from the IRS indicating this is an IRS approved plan via your secure link. Because the trust is a private retirement plan and entity, it is not registered with a specific state and there are no letters of incorporation or other documents. All of my documents are private on the state level; however, this is an IRS-approved plan with an EIN number approved and obtained through the IRS. The EIN Letter I uploaded is straight from the IRS.

Please let me know if you have any questions or if I can clarify in any way. Thanks so much for your help!

Once your documents have all been approved, Coinbase will send you some contracts to sign via DocuSign:

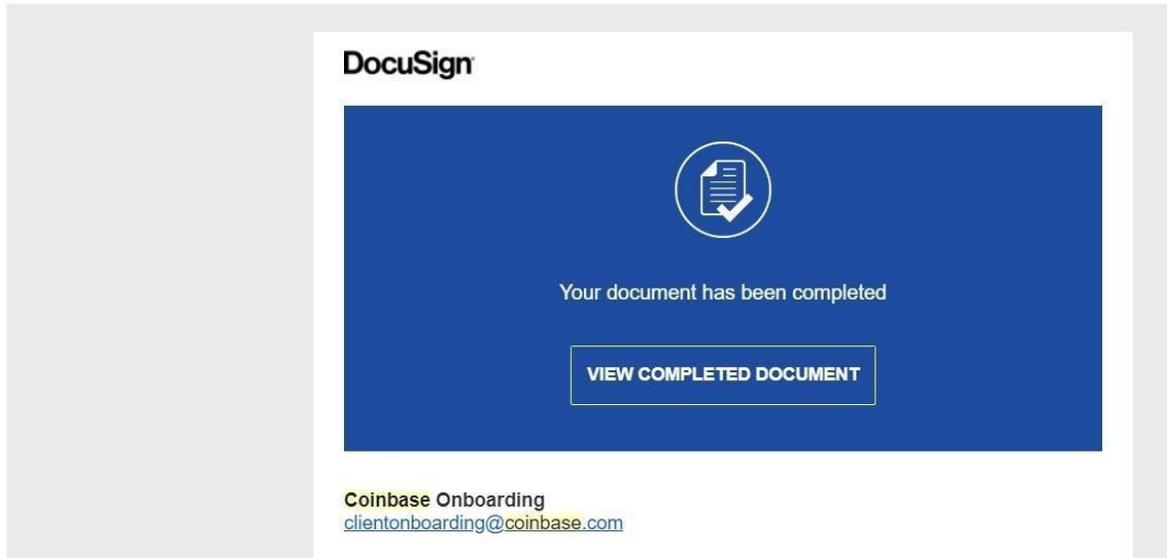
Completed: [REDACTED] Trust - Please Sign and Return **Coinbase Client Agreement**

Solo 401k/Coinbase x



Coinbase Onboarding via DocuSign <dse_NA3@docuSign.net>
to me

Wed, 8/10/2021 10:00 AM



DocuSign



Your document has been completed

[VIEW COMPLETED DOCUMENT](#)

Coinbase Onboarding
clientonboarding@coinbase.com

Once signed, Coinbase can officially open your account so that you can start buying Crypto with your Solo 401k!

Remember to link your Solo 401k bank account to your new Solo 401k Coinbase account.
Never mix personal funds with your retirement funds or accounts, on any level.

You can reach us at 877-765-6401 or support@solo401k.com with any questions!